



**Investment Advisor Registration
Form ADV
(July 30, 2007)**

Investment Advisors

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Uniform Application for Investment Adviser Registration

Part II - Page 1

Name of Investment Adviser: Lantz Wealth Partners, Ltd.				
Address: (Number and Street)	(City)	(State)	(Zip Code)	Area Code: Telephone number:
7881 W. Charleston Boulevard, Suite 220	Las Vegas	NV	89117	(702) 579-7090

This part of Form ADV gives information about the investment adviser and its business for the use of clients. The information has not been approved or verified by any governmental authority.

Table of Contents

<u>Item Number</u>	<u>Item</u>	<u>Page</u>
1	Advisory Services and Fees	2
2	Types of Clients	2
3	Types of Investments	3
4	Methods of Analysis, Sources of Information and Investment Strategies	3
5	Education and Business Standards	4
6	Education and Business Background	4
7	Other Business Activities	4
8	Other Financial Industry Activities or Affiliations	4
9	Participation or Interest in Client Transactions	5
10	Conditions for Managing Accounts	5
11	Review of Accounts	5
12	Investment or Brokerage Discretion	6
13	Additional Compensation	6
14	Balance Sheet	6
	Continuation Sheet	Schedule F
	Balance Sheet, if required	Schedule G

(Schedules A, B, C, D, and E are included with Part I of this Form, for the use of regulatory bodies, and are not distributed to clients.)

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Definitions for Part II

Related Person C Any officer, director or partner of applicant or any person directly or indirectly controlling, controlled by, or under common control with the applicant, including any non-clerical, non-ministerial employee.

Investment Supervisory Services C Giving continuous investment advice to a client (or making investments for the client) based on the individual needs of the client. Individual needs include, for example, the nature of other client assets and the client=s personal and family obligations.

1. **A. Advisory Services and Fees.** (check the applicable boxes) For each type of service provided, state the approximate % of total advisory billings from that service. (See instructions below.)

Applicant:

<input type="checkbox"/>	(1) Provides investment supervisory services				%
<input checked="" type="checkbox"/>	(2) Manages investment advisory accounts not involving investment supervisory services			28	%
<input checked="" type="checkbox"/>	(3) Furnishes investment advice through consultations not included in either service described above			5	%
<input type="checkbox"/>	(4) Issues periodicals about securities by subscription				%
<input type="checkbox"/>	(5) Issues special reports about securities not included in any service described above				%
<input type="checkbox"/>	(6) Issues, not as part of any service described above, any charts, graphs, formulas, or other devices which clients may use to evaluate securities				%
<input checked="" type="checkbox"/>	(7) On more than an occasional basis, furnishes advice to clients on matters not involving securities			62	%
<input type="checkbox"/>	(8) Provides a timing service				%
<input checked="" type="checkbox"/>	(9) Furnishes advice about securities in any manner not described above			5	%

(Percentages should be based on applicant's last fiscal year. If applicant has not completed its first fiscal year, provide estimates of advisory billings for that year and state that the percentages are estimates.)

B. Does applicant call any of the services it checked above financial planning or some similar term?

	Yes	No
	<input checked="" type="checkbox"/>	<input type="checkbox"/>

C. Applicant offers investment advisory services for: (check all that apply)

<input checked="" type="checkbox"/>	(1) A percentage of assets under management	<input type="checkbox"/>	(4) Subscription fees
<input checked="" type="checkbox"/>	(2) Hourly charges	<input checked="" type="checkbox"/>	(5) Commissions
<input checked="" type="checkbox"/>	(3) Fixed Fees (not including subscription fees)	<input type="checkbox"/>	(6) Other

D. For each checked box in A above, describe on Schedule F:

- the services provided, including the name of any publication or report issued by the adviser on a subscription basis or for a fee
- applicant's basic fee schedule, how fees are charged and whether its fees are negotiable
- when compensation is payable, and if compensation is payable before service is provided, how a client may get a refund or may terminate an investment advisory contract before its expiration date

2. **Types of clients** - Applicant generally provides investment advice to: (check those that apply)

<input checked="" type="checkbox"/>	A. Individuals	<input checked="" type="checkbox"/>	E. Trusts, estates, or charitable organizations
<input type="checkbox"/>	B. Banks or thrift institutions	<input checked="" type="checkbox"/>	F. Corporations or business entities other than those listed above
<input type="checkbox"/>	C. Investment companies	<input type="checkbox"/>	G. Other (describe on Schedule F)
<input checked="" type="checkbox"/>	D. Pension and profit sharing plans		

Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1).

3. Types of Investments. Applicant offers advice on the following: (check those that apply)

- | | |
|--|--|
| <input checked="" type="checkbox"/> A. Equity securities | <input checked="" type="checkbox"/> H. United States government securities |
| <input checked="" type="checkbox"/> (1) exchange-listed securities | I. Options contracts on: |
| <input checked="" type="checkbox"/> (2) securities traded over-the-counter | <input checked="" type="checkbox"/> (1) securities |
| <input checked="" type="checkbox"/> (3) foreign issuers | <input type="checkbox"/> (2) commodities |
| <input checked="" type="checkbox"/> B. Warrants | J. Futures contracts on: |
| <input checked="" type="checkbox"/> C. Corporate debt securities (other than commercial paper) | <input type="checkbox"/> (1) tangibles |
| <input checked="" type="checkbox"/> D. Commercial paper | <input type="checkbox"/> (2) intangibles |
| <input checked="" type="checkbox"/> E. Certificates of deposit | K. Interests in partnerships investing in: |
| <input checked="" type="checkbox"/> F. Municipal securities | <input checked="" type="checkbox"/> (1) real estate |
| G. Investment company securities: | <input checked="" type="checkbox"/> (2) oil and gas interests |
| <input type="checkbox"/> (1) variable life insurance | <input checked="" type="checkbox"/> (3) other (explain on Schedule F) |
| <input type="checkbox"/> (2) variable annuities | L. Other (explain on Schedule F) |
| <input checked="" type="checkbox"/> (3) mutual fund shares | |

4. Methods of Analysis, Sources of Information, and Investment Strategies.

A. Applicant's security analysis methods include: (check those that apply)

- | | |
|---|---|
| (1) <input checked="" type="checkbox"/> Charting | (4) <input checked="" type="checkbox"/> Cyclical |
| (2) <input checked="" type="checkbox"/> Fundamental | (5) <input checked="" type="checkbox"/> Other (explain on Schedule F) |
| (3) <input checked="" type="checkbox"/> Technical | |

B. The main sources of information applicant uses include: (check those that apply)

- | | |
|---|---|
| (1) <input checked="" type="checkbox"/> Financial newspapers and magazines | (5) <input checked="" type="checkbox"/> Timing services |
| (2) <input type="checkbox"/> Inspections of corporate activities | (6) <input checked="" type="checkbox"/> Annual reports, prospectuses, filings with the Securities and Exchange Commission |
| (3) <input checked="" type="checkbox"/> Research materials prepared by others | (7) <input checked="" type="checkbox"/> Company press releases |
| (4) <input checked="" type="checkbox"/> Corporate rating services | (8) <input type="checkbox"/> Other (explain on Schedule F) |

C. The investment strategies used to implement any investment advice given to clients include: (check those that apply)

- | | |
|---|---|
| (1) <input checked="" type="checkbox"/> Long term purchases (securities held at least a year) | (5) <input type="checkbox"/> Margin transactions |
| (2) <input checked="" type="checkbox"/> Short term purchases (securities sold within a year) | (6) <input type="checkbox"/> Option writing, including covered options, uncovered options or spreading strategies |
| (3) <input type="checkbox"/> Trading (securities sold within 30 days) | (7) <input type="checkbox"/> Other (explain on Schedule F) |
| (4) <input type="checkbox"/> Short sales | |

Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1).

5. Education and Business Standards.

Are there any general standards of education or business experience that applicant requires of those involved in determining or giving investment advice to clients? Yes No

(If yes, describe these standards on Schedule F.)

6. Education and Business Background

For:

- each member of the investment committee or group that determines general investment advice to be given to clients, or
- if the applicant has no investment committee or group, each individual who determines general investment advice given to clients (if more than five, respond only for their supervisors)
- each principal executive officer of applicant or each person with similar status or performing similar functions.

On Schedule F, give the:

- name
- formal education after high school
- year of birth
- business background for the preceding five years

7. Other Business Activities. (check those that apply)

- A. Applicant is actively engaged in a business other than giving investment advice.
- B. Applicant sells products or services other than investment advice to clients.
- C. The principal business of applicant or its principal executive officers involves something other than providing investment advice.

(For each checked box describe the other activities, including the time spent on them, on Schedule F.)

8. Other Financial Industry Activities or Affiliations. (check those that apply)

- A. Applicant is registered (or has an application pending) as a securities broker-dealer.
- B. Applicant is registered (or has an application pending) as a futures commission merchant, commodity pool operator or commodity trading adviser.
- C. Applicant has arrangements that are material to its advisory business or its clients with a related person who is a:
 - (1) broker-dealer
 - (7) accounting firm
 - (2) investment company
 - (8) law firm
 - (3) other investment adviser
 - (9) insurance company or agency
 - (4) financial planning firm
 - (10) pension consultant
 - (5) commodity pool operator, commodity trading advisor or futures commission merchant
 - (11) real estate broker or dealer
 - (6) banking or thrift institution
 - (12) entity that creates or packages limited partnerships

(For each checked in box in C, on Schedule F identify the related person and describe the relationship and the arrangements.)

D. Is applicant or a related person a general partner in any partnership in which clients are solicited to invest? Yes No

(If yes, describe on Schedule F the partnerships and what they invest in.)

Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1).

9. Participation or Interest in Client Transactions.

Applicant or a related person: (check those that apply)

- A. As principal, buys securities for itself from or sells securities it owns to any client.
- B. As broker or agent effects securities transactions for compensation for any client.
- C. As broker or agent for any person other than a client effects transactions in which client securities are sold to or bought from a brokerage customer.
- D. Recommends to clients that they buy or sell securities or investment products in which the applicant or a related person has some financial interest.
- E. Buys or sells for itself securities that it also recommends to clients.

(For each box checked, describe on Schedule F when the applicant or a related person engages in these transactions and what restrictions, internal procedures, or disclosures are used for conflicts of interest in those transactions.)

10. Conditions for Managing Accounts. Does the applicant provide investment supervisory services, manage investment advisory accounts or hold itself out as providing financial planning or some similarly termed services and impose a minimum dollar value of assets or other conditions for starting or maintaining an account?

Yes No

(If yes, describe on Schedule F)

11. Review of Accounts. If applicant provides investment supervisory services, manages investment advisory accounts, or holds itself out as providing financial planning or some similarly termed services:

A. Describe below the reviews and reviewers of the accounts. **For reviews**, include their frequency, different levels, and triggering factors. **For reviewers**, include the number of reviewers, their titles and functions, instructions they receive from applicant on performing reviews, and number of accounts assigned each.

For those clients to whom LWP provides investment advisory services, account reviews are conducted on a periodic basis, no more frequent than quarterly by LWP's Principals and/or Associates Persons. All investment advisory and financial planning clients are advised that it remains their responsibility to advise LWP of any changes in their investment objectives and/or financial situation. All clients (in person or telephonically) are encouraged to comprehensively review financial planning issues, investment objectives and account performance with LWP on an annual basis, as applicable.

With respect to its investment advisory services, LWP provides non-discretionary investment advisory services pertaining to the allocation of the client's investment assets among various investment vehicles. LWP **does not provide** "investment supervisory services" as that term is defined on the top of page 2 of Part II of this Form ADV and as referenced at Item 1A(1) on that same page. Rather, as discussed above, LWP generally provides investment advice and/or account review services only on a periodic basis (no more frequent than quarterly).

B. Describe below the nature and frequency of regular reports to clients on their accounts.

Clients are provided with transaction confirmation notices and regular summary account statements directly from the broker-dealer/custodian and/or program sponsor for the client accounts.

Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1).

12. Investment or Brokerage Discretion.

- A. Does applicant or any related person have authority to determine, without obtaining specific client consent, the:
- | | | |
|--|--------------------------|-------------------------------------|
| | Yes | No |
| (1) securities to be bought or sold? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| (2) amount of the securities to be bought or sold? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| (3) broker or dealer to be used? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| (4) commission rates paid? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |

- B. Does applicant or a related person suggest brokers to clients? Yes No

For each yes answer to A describe on Schedule F any limitations on the authority. For each yes to A(3), A(4) or B, describe on Schedule F the factors considered in selecting brokers and determining the reasonableness of their commissions. If the value of products, research and services given to the applicant or a related person is a factor, describe:

- the products, research and services
- whether clients may pay commissions higher than those obtainable from other brokers in return for those products and Services
- whether research is used to service all of applicant's accounts or just those accounts paying for it; and
- any procedures the applicant used during the last fiscal year to direct client transactions to a particular broker in return for product and research services received.

13. Additional Compensation.

Does the applicant or a related person have any arrangements, oral or in writing, where it:

- | | | |
|---|---|--|
| A. is paid cash by or receives some economic benefit (including commissions, equipment or non-research services) from a non-client in connection with giving advice to clients? | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| B. directly or indirectly compensates any person for client referrals? | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

(For each yes, describe the arrangements on Schedule F.)

14. Balance Sheet. Applicant must provide a balance sheet for the most recent fiscal year on Schedule G if applicant:

- has custody of client funds or securities; or
 - requires prepayment of more than \$500 in fees per client and 6 or more months in advance
- Has applicant provided a Schedule G balance sheet? Yes No

Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1).

Uniform Application for Investment Adviser Registration

Part II - Page 1

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Address: (Number and Street)	(City)	(State)	(Zip Code)	Area Code: Telephone number:
7881 W. Charleston Boulevard, Suite 220	Las Vegas	NV	89117	(702) 579-7090

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Investment Supervisory Services C Giving continuous investment advice to a client (or making investments for the client) based on the individual needs of the client. Individual needs include, for example, the nature of other client assets and the client=s personal and family obligations.

1. **A. Advisory Services and Fees.** (check the applicable boxes) For each type of service provided, state the approximate % of total advisory billings from that service. (See instructions below.)

Applicant:

<input type="checkbox"/>	(1) Provides investment supervisory services	%
<input checked="" type="checkbox"/>	(2) Manages investment advisory accounts not involving investment supervisory services	28 %
<input checked="" type="checkbox"/>	(3) Furnishes investment advice through consultations not included in either service described above	5 %
<input type="checkbox"/>	(4) Issues periodicals about securities by subscription	%
<input type="checkbox"/>	(5) Issues special reports about securities not included in any service described above	%
<input type="checkbox"/>	(6) Issues, not as part of any service described above, any charts, graphs, formulas, or other devices which clients may use to evaluate securities	%
<input checked="" type="checkbox"/>	(7) On more than an occasional basis, furnishes advice to clients on matters not involving securities	62 %
<input type="checkbox"/>	(8) Provides a timing service	%
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(Percentages should be based on applicant's last fiscal year. If applicant has not completed its first fiscal year, provide estimates of advisory billings for that year and state that the percentages are estimates.)

B. Does applicant call any of the services it checked above financial planning or some similar term?

	Yes	No
	<input checked="" type="checkbox"/>	<input type="checkbox"/>

C. Applicant offers investment advisory services for: (check all that apply)

<input checked="" type="checkbox"/> (1) A percentage of assets under management	<input type="checkbox"/> (4) Subscription fees
<input checked="" type="checkbox"/> (2) Hourly charges	<input checked="" type="checkbox"/> (5) Commissions
<input checked="" type="checkbox"/> (3) Fixed Fees (not including subscription fees)	<input type="checkbox"/> (6) Other

D. For each checked box in A above, describe on Schedule F:

- the services provided, including the name of any publication or report issued by the adviser on a subscription basis or for a fee
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2. **Types of clients** - Applicant generally provides investment advice to: (check those that apply)

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<input type="checkbox"/> B. Banks or thrift institutions	<input checked="" type="checkbox"/> F. Corporations or business entities other than those listed above
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| <input type="checkbox"/> (1) variable life insurance | <input checked="" type="checkbox"/> (3) other (explain on Schedule F) |
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| <input checked="" type="checkbox"/> (3) mutual fund shares | |

4. Methods of Analysis, Sources of Information, and Investment Strategies.

A. Applicant's security analysis methods include: (check those that apply)

- | | |
|---|---|
| (1) <input checked="" type="checkbox"/> Charting | (4) <input checked="" type="checkbox"/> Cyclical |
| (2) <input checked="" type="checkbox"/> Fundamental | (5) <input checked="" type="checkbox"/> Other (explain on Schedule F) |
| (3) <input checked="" type="checkbox"/> Technical | |

B. The main sources of information applicant uses include: (check those that apply)

- | | |
|---|---|
| (1) <input checked="" type="checkbox"/> Financial newspapers and magazines | (5) <input checked="" type="checkbox"/> Timing services |
| (2) <input type="checkbox"/> Inspections of corporate activities | (6) <input checked="" type="checkbox"/> Annual reports, prospectuses, filings with the Securities and Exchange Commission |
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| (4) <input checked="" type="checkbox"/> Corporate rating services | (8) <input type="checkbox"/> Other (explain on Schedule F) |

C. The investment strategies used to implement any investment advice given to clients include: (check those that apply)

- | | |
|---|---|
| (1) <input checked="" type="checkbox"/> Long term purchases (securities held at least a year) | (5) <input type="checkbox"/> Margin transactions |
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Are there any general standards of education or business experience that applicant requires of those involved in determining or giving investment advice to clients? Yes No

(If yes, describe these standards on Schedule F.)

6. Education and Business Background

For:

- each member of the investment committee or group that determines general investment advice to be given to clients, or
- if the applicant has no investment committee or group, each individual who determines general investment advice given to clients (if more than five, respond only for their supervisors)
- each principal executive officer of applicant or each person with similar status or performing similar functions.

On Schedule F, give the:

- name
- year of birth
- formal education after high school
- business background for the preceding five years

7. Other Business Activities. (check those that apply)

- A. Applicant is actively engaged in a business other than giving investment advice.
- B. Applicant sells products or services other than investment advice to clients.
- C. The principal business of applicant or its principal executive officers involves something other than providing investment advice.

(For each checked box describe the other activities, including the time spent on them, on Schedule F.)

8. Other Financial Industry Activities or Affiliations. (check those that apply)

- A. Applicant is registered (or has an application pending) as a securities broker-dealer.
- B. Applicant is registered (or has an application pending) as a futures commission merchant, commodity pool operator or commodity trading adviser.
- C. Applicant has arrangements that are material to its advisory business or its clients with a related person who is a:
 - (1) broker-dealer
 - (2) investment company
 - (3) other investment adviser
 - (4) financial planning firm
 - (5) commodity pool operator, commodity trading advisor or futures commission merchant
 - (6) banking or thrift institution
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 - (9) insurance company or agency
 - (10) pension consultant
 - (11) real estate broker or dealer
 - (12) entity that creates or packages limited partnerships

(For each checked in box in C, on Schedule F identify the related person and describe the relationship and the arrangements.)

D. Is applicant or a related person a general partner in any partnership in which clients are solicited to invest? Yes No

(If yes, describe on Schedule F the partnerships and what they invest in.)

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9. Participation or Interest in Client Transactions.

Applicant or a related person: (check those that apply)

- A. As principal, buys securities for itself from or sells securities it owns to any client.
- B. As broker or agent effects securities transactions for compensation for any client.
- C. As broker or agent for any person other than a client effects transactions in which client securities are sold to or bought from a brokerage customer.
- D. Recommends to clients that they buy or sell securities or investment products in which the applicant or a related person has some financial interest.
- E. Buys or sells for itself securities that it also recommends to clients.

(For each box checked, describe on Schedule F when the applicant or a related person engages in these transactions and what restrictions, internal procedures, or disclosures are used for conflicts of interest in those transactions.)

- 10. Conditions for Managing Accounts.** Does the applicant provide investment supervisory services, manage investment advisory accounts or hold itself out as providing financial planning or some similarly termed services and impose a minimum dollar value of assets or other conditions for starting or maintaining an account?

Yes No

(If yes, describe on Schedule F)

- 11. Review of Accounts.** If applicant provides investment supervisory services, manages investment advisory accounts, or holds itself out as providing financial planning or some similarly termed services:

A. Describe below the reviews and reviewers of the accounts. **For reviews**, include their frequency, different levels, and triggering factors. **For reviewers**, include the number of reviewers, their titles and functions, instructions they receive from applicant on performing reviews, and number of accounts assigned each.

For those clients to whom LWP provides investment advisory services, account reviews are conducted on a periodic basis, no more frequent than quarterly by LWP's Principals and/or Associates Persons. All investment advisory and financial planning clients are advised that it remains their responsibility to advise LWP of any changes in their investment objectives and/or financial situation. All clients (in person or telephonically) are encouraged to comprehensively review financial planning issues, investment objectives and account performance with LWP on an annual basis, as applicable.

With respect to its investment advisory services, LWP provides non-discretionary investment advisory services pertaining to the allocation of the client's investment assets among various investment vehicles. LWP **does not provide** "investment supervisory services" as that term is defined on the top of page 2 of Part II of this Form ADV and as referenced at Item 1A(1) on that same page. Rather, as discussed above, LWP generally provides investment advice and/or account review services only on a periodic basis (no more frequent than quarterly).

B. Describe below the nature and frequency of regular reports to clients on their accounts.

Clients are provided with transaction confirmation notices and regular summary account statements directly from the broker-dealer/custodian and/or program sponsor for the client accounts.

Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1).

12. Investment or Brokerage Discretion.

- A. Does applicant or any related person have authority to determine, without obtaining specific client consent, the:
- | | | |
|--|--------------------------|-------------------------------------|
| | Yes | No |
| (1) securities to be bought or sold? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| (2) amount of the securities to be bought or sold? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| (3) broker or dealer to be used? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| (4) commission rates paid? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |

- B. Does applicant or a related person suggest brokers to clients? Yes No

For each yes answer to A describe on Schedule F any limitations on the authority. For each yes to A(3), A(4) or B, describe on Schedule F the factors considered in selecting brokers and determining the reasonableness of their commissions. If the value of products, research and services given to the applicant or a related person is a factor, describe:

- the products, research and services
- whether clients may pay commissions higher than those obtainable from other brokers in return for those products and Services
- whether research is used to service all of applicant's accounts or just those accounts paying for it; and
- any procedures the applicant used during the last fiscal year to direct client transactions to a particular broker in return for product and research services received.

13. Additional Compensation.

Does the applicant or a related person have any arrangements, oral or in writing, where it:

- | | | |
|---|---|--|
| A. is paid cash by or receives some economic benefit (including commissions, equipment or non-research services) from a non-client in connection with giving advice to clients? | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| B. directly or indirectly compensates any person for client referrals? | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

(For each yes, describe the arrangements on Schedule F.)

14. Balance Sheet. Applicant must provide a balance sheet for the most recent fiscal year on Schedule G if applicant:

- has custody of client funds or securities; or
 - requires prepayment of more than \$500 in fees per client and 6 or more months in advance
- Has applicant provided a Schedule G balance sheet? Yes No

Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1).

**Schedule F of
Form ADV**

Continuation Sheet for Form ADV Part II

Applicant: Lantz Wealth Partners, Ltd.	SEC File Number: 801-	Date: 7/30/2007
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: Lantz Wealth Partners, Ltd.	IRS Empl. Ident. No.:
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Item of Form (identify)	Answer
Item 1.D.	<p><u>ADVISORY SERVICES AND FEES</u></p> <p>Lantz Wealth Partners, Ltd. (hereinafter "LWP" or the "firm") is an investment adviser registered with the State of Nevada. LWP offers personalized wealth management and investment advisory services to individuals, pension and profit sharing plans, trusts, estates, charitable organizations, corporations, and other business entities. The firm's services and fee arrangements are described in the following pages.</p> <p>Individuals associated with LWP will provide the firm's investment advisory services. These individuals are appropriately licensed, qualified or authorized to provide advisory services on LWP's behalf. Such individuals are known as Investment Adviser Representatives (IARs).</p> <p>With respect to its investment advisory services, the Advisor provides non-discretionary asset management services pertaining to the allocation of the client's investment assets among various investment vehicles. The Advisor does not provide "investment supervisory services" as that term is defined on the top of page 2 of Part II of this Form ADV and as referenced at Item 1A(1) on that same page. Rather, as discussed at Item 11A of Part II of Form ADV, the Advisor generally provides investment advice and/or account review services only on a periodic basis (no more frequent than quarterly).</p> <p><u>WEALTH MANAGEMENT/FINANCIAL PLANNING</u></p> <p>Wealth management advice will typically involve providing a variety of services, principally advisory in nature, to individuals regarding the management of their financial resources based upon an analysis of individual client needs. An IAR of our firm will first conduct a complimentary initial consultation. After the initial consultation, if the client decides to engage LWP for wealth management and optimization services, the IAR will conduct one or more meetings to prepare a report called The Discovery Profile™. These meetings and subsequent report contain pertinent information about the client's financial circumstances and objectives. Once such information has been reviewed and analyzed, a written financial plan call The Provident Game Plan™ – designed to achieve the clients' stated financial goals and objectives – will be produced and presented to the client. The primary objective of this process is to allow LWP to assist the client in developing a strategy for the successful management of income, assets and liabilities in meeting the client's long term financial goals and objectives. Wealth management simply described is helping the client eliminate dangers and capture opportunities in the general phases of a client's life cycle – accumulation, protection and transfer of wealth.</p> <p>Financial plans are based on the client's financial situation at the time the plan is presented and are based on financial information disclosed by the client to LWP. Clients are advised that certain assumptions may be made with respect to interest and inflation rates and use of past trends and performance of the market and economy. Past performance is in no way an indication of future performance. LWP cannot offer any guarantees or promises that the client's financial goals and objectives will be met. As the client's financial situation, goals, objectives, or needs change, the client must notify LWP promptly.</p> <p>LWP utilizes the following financial planning fee schedule, subject to negotiation depending on the nature, complexity, and time involved in providing the client with the requested services.</p>

Complete amended pages in full, circle amended items and file with execution page (page 1).

**Schedule F of
Form ADV**

Continuation Sheet for Form ADV Part II

Applicant: Lantz Wealth Partners, Ltd.	SEC File Number: 801-	Date: 7/30/2007
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Item 1.D. (continued)	<ul style="list-style-type: none"> ▪ Fixed Fees: The fee for a financial plan will range between \$5,000 and \$150,000. The determination of the final fee is based on a negotiated price with the customer before any services are rendered and upon the execution of the Fixed Price Agreement. If the client chooses to proceed, an initial retainer ranging between 25% and 50% of the estimated fee is payable in advance of any services rendered, with the balance due upon completion of contracted services. The initial retainer shall vary in accordance with the estimated fee. ▪ Hourly Fees: An hourly fee, which ranges between \$150 and \$500 is assessed for clients who request specific consulting or modular planning services and do not desire a written financial plan. Hourly fees are generally calculated and payable at the conclusion of each session, although in some cases they may be paid weekly, monthly or periodically in advance or arrears. Hourly fees for Michael C. Lantz may be higher than those offered by other advisors for the same or similar industry average. <p>When the scope of the financial planning services has been agreed upon, a determination will be made as to applicable fee. The final fee shall be directly dependent upon the facts and circumstances of the client's financial situation and the complexity of the financial plan or service(s) requested. <i>In limited circumstances</i>, the cost/time could potentially exceed the initial estimate. In such cases, LWP will notify the client and may request that the client pay an additional fee.</p> <p>Clients may act on LWP's recommendations by placing securities transactions with any brokerage firm the client chooses. The client is under no obligation to act on LWP's financial planning recommendations. Moreover, if the client elects to act on any of the recommendations, the client is under no obligation to implement the financial plan through NFP or LWP.</p> <p>LWP or the client may terminate the wealth planning agreement within five days of the date of acceptance without penalty to the client. Thereafter, the client will incur a pro rata charge for bona fide advisory services actually rendered prior to such termination. After the five-day period, either party may terminate the wealth planning agreement by providing written notice to the other party. In the event there are any prepaid unearned fees, LWP will promptly return a pro rata share to the client.</p> <p>PENSION CONSULTING</p> <p>LWP will also offer pension-consulting services to employee benefit plans and their fiduciaries based on an analysis of the needs of the plan. These advisory services may include advice as to the general recommendations as to what portion of plan assets should be invested in various investment media, including securities, and, in some cases, recommendations regarding investment in specific securities or other investments. LWP may also assist plan fiduciaries in determining plan investment objectives and policies and in designing funding media for the plan. LWP may also provide general or specific advice to plan fiduciaries as to the selection or retention of persons to manage the assets of the plan.</p> <p>All client accounts are regulated under the Employee Retirement Income Securities Act ("ERISA"). LWP will provide consulting services, as an investment manager, to the plan fiduciaries as described above. LWP will not maintain discretionary authority or control, whatsoever, with respect to client accounts. The named plan fiduciary must make the</p>

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Schedule F of Form ADV

Continuation Sheet for Form ADV Part II

Applicant:	SEC File Number:	Date:
Lantz Wealth Partners, Ltd.	801-	7/30/2007

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Item of Form (identify)	Answer														
Item 1.D. (continued)	<p>ultimate decision as to retaining the services of such investment advisers as LWP recommends. The plan fiduciary is free to seek independent advice about the appropriateness of any recommended services for the plan.</p> <p>LWP is customarily compensated for these services primarily through fees paid by the plan, its sponsor, or through a combination of fees and commissions. Such fees, and fee-paying arrangements, are negotiated on a case-by-case basis dependant on the scope of the contracted services, and shall be clearly set forth in the advisory service agreement. Fees may be on an hourly basis or on an annual fixed price agreement. Hourly fees for pension services range from \$125-\$250 per hour, based on the complexity of the planning. Fixed price agreements will be negotiated on an annual basis and range from \$2,500-\$5,000 based on the complexity of the planning. In addition to planning fees, a management fee would be charged based on assets under management. Fees will be based on assets under management, not to exceed 2% (200 basis points). Fees may be negotiable. The general structure for portfolio management services will be as follows:</p> <table border="0" style="width: 100%;"> <thead> <tr> <th style="text-align: left;">Assets Under Management</th> <th style="text-align: left;">Maximum Annual Fee</th> </tr> </thead> <tbody> <tr> <td>First \$250,000</td> <td>2.00%</td> </tr> <tr> <td>Next \$250,000</td> <td>1.50%</td> </tr> <tr> <td>Next \$500,000</td> <td>1.25%</td> </tr> <tr> <td>Next \$1,000,000</td> <td>1.00%</td> </tr> <tr> <td>Next \$3,000,000</td> <td>.80%</td> </tr> <tr> <td>Next \$5,000,000</td> <td>.60%</td> </tr> </tbody> </table> <p>The fee will be payable quarterly in advance. The first payment is due payable upon the execution of the Agreement, and will be assessed pro-rata in the event the agreement is executed other than the first day of the new calendar quarter. Subsequent payments are due and will be assessed on the first day of each calendar quarter based on the value of the portfolio as of the last day of the previous calendar quarter.</p> <p>The custodian holding the client's funds and securities may make payment of fees. Five criteria must be met when payment is made by the custodian: 1) the client provides written authorization permitting the fees to be paid directly from the client's account held by the independent custodian; 2) the affiliate sends to the client a bill showing the amount of the fee, the value of the client's assets on which the fee is based, and the specific manner in which the fee was calculated; 3) it is hereby disclosed to the client that it is the client's responsibility to verify the accuracy of the fee calculation and that the custodian will not determine whether the fee is properly calculated; 4) the affiliate sends a bill to the custodian indicating only the amount of the fee to be paid by the custodian; and 5) the custodian agrees to send the client a statement, at least quarterly, indicating all amounts dispersed from the account including the amount of advisory fees paid directly to Adviser.</p> <p>The client may terminate the Agreement without penalty (full refund) within five business days of signature. After the first five days, services will continue until either party terminates the agreement upon immediate written notice. If termination occurs prior to the end of a calendar quarter, a pro-rata refund of unearned fees will be made to the client.</p> <p>In order to provide full disclosure, each investment adviser will provide the client with a</p>	Assets Under Management	Maximum Annual Fee	First \$250,000	2.00%	Next \$250,000	1.50%	Next \$500,000	1.25%	Next \$1,000,000	1.00%	Next \$3,000,000	.80%	Next \$5,000,000	.60%
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Schedule F of Form ADV

Continuation Sheet for Form ADV Part II

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Item 1.D. (continued)	<p>written Client Service Agreement describing the service and fee in more detail.</p> <p><u>The Building Block Investing Model™ Program</u> LWP offers The Building Block Investing Model™ Program (the "Program") to suitable clients who seek advice regarding the development of investment strategies and the selection and monitoring of independent money managers ("Managers") and/or mutual funds ("Funds"), together with brokerage and custodial services, for a single annual asset based advisory fee.</p> <p>Affiliates of the Applicant will conduct an initial interview with client(s) where several data gathering questionnaires will be undertaken to determine the client's financial situation and investment objectives. These questionnaires will lead to the design of an investment model appropriate for the client's financial needs, time horizon, risk capacity and investment objectives. Recommendations will be made to invest in a portfolio of securities, generally no load mutual funds or load mutual funds available at NAV, stocks, bonds, and other appropriate investments. Reviews will be at least annually.</p> <p>Please Note – No Investment Supervisory Services: LWP does not provide continuous and regular supervision or management services and that absent mitigating circumstances, it reviews accounts no more frequently than quarterly, usually for the purpose of reallocating the account to match the allocation set forth in the investment policy statement.</p> <p>LWP will never have custody of any client funds or securities, as the services of an outside third party custodian will be used. Securities will be purchased through the facilities of Schwab Institutional, a division of Charles Schwab & Co., Inc. There are transaction charges involved when purchasing the securities and the client will be notified of all charges prior to the commencement of trading.</p> <p>Fees will be based on assets under management, not to exceed 2% (200 basis points). Fees may be negotiable. The general structure for portfolio management services will be as follows:</p> <table border="0" style="width: 100%;"> <thead> <tr> <th style="text-align: left;">Assets Under Management</th> <th style="text-align: left;">Maximum Annual Fee</th> </tr> </thead> <tbody> <tr> <td>First \$250,000</td> <td>2.00%</td> </tr> <tr> <td>Next \$250,000</td> <td>1.50%</td> </tr> <tr> <td>Next \$500,000</td> <td>1.25%</td> </tr> <tr> <td>Next \$1,000,000</td> <td>1.00%</td> </tr> <tr> <td>Next \$3,000,000</td> <td>.80%</td> </tr> <tr> <td>Next \$5,000,000</td> <td>.60%</td> </tr> </tbody> </table> <p>The fee will be payable quarterly in advance. The first payment is due payable upon the execution of the Agreement, and will be assessed pro-rata in the event the agreement is executed other than the first day of the new calendar quarter. Subsequent payments are due and will be assessed on the first day of each calendar quarter based on the value of the portfolio as of the last day of the previous calendar quarter.</p> <p>The custodian holding the client's funds and securities may make payment of fees. Five criteria must be met when payment is made by the custodian: 1) the client provides written</p>	Assets Under Management	Maximum Annual Fee	First \$250,000	2.00%	Next \$250,000	1.50%	Next \$500,000	1.25%	Next \$1,000,000	1.00%	Next \$3,000,000	.80%	Next \$5,000,000	.60%
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Item of Form (identify)	Answer
Item 1.D. (continued)	<p>authorization permitting the fees to be paid directly from the client's account held by the independent custodian; 2) the affiliate sends to the client a bill showing the amount of the fee, the value of the client's assets on which the fee is based, and the specific manner in which the fee was calculated; 3) it is hereby disclosed to the client that it is the client's responsibility to verify the accuracy of the fee calculation and that the custodian will not determine whether the fee is properly calculated; 4) the affiliate sends a bill to the custodian indicating only the amount of the fee to be paid by the custodian; and 5) the custodian agrees to send the client a statement, at least quarterly, indicating all amounts dispersed from the account including the amount of advisory fees paid directly to Adviser.</p> <p>The client may terminate the Agreement without penalty (full refund) within five business days of signature. After the first five days, services will continue until either party terminates the agreement upon immediate written notice. If termination occurs prior to the end of a calendar quarter, a pro-rata refund of unearned fees will be made to the client.</p> <p>In order to provide full disclosure, each investment adviser will provide the client with a written Client Service Agreement describing the service and fee in more detail.</p> <p>The applicant is not affiliated with any broker/dealer.</p> <p>General Information on Advisory Services and Fees The fee charged is calculated as described above and is not charged on the basis of a share of capital gains upon or capital appreciation of the funds or any portion of the funds of an advisory client (SEC Rule 205(a)(1)).</p> <p>All fees paid to LWP for investment advisory services are separate and distinct from the fees and expenses charged by mutual funds (described in each fund's prospectus) to their shareholders. These fees will generally include a management fee and other expenses. Fees paid to LWP are exclusive of all custodial and transaction costs paid to account custodian, brokers or other managers. The client should review all fees charged by mutual funds, LWP and others to fully understand the total amount of fees to be paid by the client.</p> <p>LWP does not represent warranty or imply that the services or methods of analysis employed by the firm can or will predict future results, successfully identify market tops or bottoms, or insulate clients from losses due to market corrections or declines. No assumption can be made that any particular advisory services, investment strategy, or fee arrangement will provide better returns than other investment strategies.</p> <p>LWP shall never have custody of any client funds or securities, as the services of an independent custodian will be use for these asset management services.</p> <p>MISCELLANEOUS Advisory Account Custodian. Except for variable investment products (which shall generally be maintained at the issuing insurance company), LWP recommends that client investment advisory accounts be primarily maintained at Charles Schwab & Co. Inc. ("<i>Schwab</i>"). Factors that LWP considers in recommending <i>Schwab</i> to clients include <i>Schwab's</i> financial strength, reputation, reporting, execution, pricing, research, and service. The brokerage commissions or transaction fees charged by <i>Schwab</i> (or any other designated broker-dealer/custodian) for account transactions are exclusive of, and in</p>

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<p>Item 3.K.(3) Item 3.L.</p>	<p>addition to, LWP investment management fee. See disclosure at Items 12B and 13A below</p> <p>Proxy Voting Policy. Clients maintain exclusive responsibility for: (1) directing the manner in which proxies solicited by issuers of securities beneficially owned by the client shall be voted, and (2) making all elections relative to any mergers, acquisitions, tender offers, bankruptcy proceedings or other type events pertaining to the client's investment assets. LWP and/or the client shall correspondingly instruct each custodian of the assets to forward to the client copies of all proxies and shareholder communications relating to the client's investment assets.</p> <p>Client Obligations. In performing its services, LWP shall not be required to verify any information received from the client or from the client's other professionals, and is expressly authorized to rely thereon. If requested by the client, LWP may recommend the services of other professionals for implementation purposes, including the Registrant's Principals and/or Associates Persons in their separate individual capacities as a certified public accountant and/or licensed insurance agents (see disclosure at Items 8C(7) and 8C(9) below). The client is under no obligation to engage the services of any such recommended professional. The client retains absolute discretion over all such implementation decisions and is free to accept or reject any recommendation from LWP. Moreover, each client is advised that it remains his/her/its responsibility to promptly notify LWP if there is ever any change in his/her/its financial situation or investment objectives for the purpose of reviewing/evaluating/revising LWP's previous recommendations and/or services.</p> <p>Assignment. Neither LWP nor the client may assign the <i>Investment Advisory Agreement</i> without the prior consent of the other party. Transactions that do not result in a change of actual control or management of LWP shall not be considered an assignment.</p> <p>Disclosure Statement. A copy of LWP's written disclosure statement as set forth on Part II of Form ADV shall be provided to each client prior to or contemporaneously with the execution of Investment Advisory Agreement or Financial Planning and Consulting Agreement. Any client who has not received a copy of LWP's written disclosure statement at least 48 hours prior to executing the Investment Advisory Agreement or Financial Planning and Consulting Agreement shall have five business days subsequent to executing the agreement to terminate LWP's services without penalty.</p> <p>TYPES OF INVESTMENTS</p> <p>Investment advice may be given and positions may be taken in investments such as Real Estate Investment Trust (REIT) companies, equipment leasing, historical rehabilitation, low income housing, direct loans secured by first or second deeds of trust, and or any other product that LWP deems appropriate in order to address the individualized needs, goals and objectives of the client.</p> <p>Private investment funds generally involve various risk factors and liquidity constraints, a complete discussion of which is set forth in each fund's offering documents, which will be provided to each client for review and consideration. Each prospective client investor will be required to complete a Subscription Agreement, pursuant to which the client shall establish that he/she is qualified for investment in the fund, and acknowledges and accepts the various risk factors that are associated with such an investment.</p>

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Item of Form (identify)	Answer
Item 4.A.(5)	
Item 5.	<p><u>METHODS OF ANALYSIS</u> LWP uses the Fama/French Three-Factor and Five-Factor Models ("FF") as its core investment strategies. FF is a sophisticated investment decision approach that theoretically permits an investor to classify, estimate, and control both the kind and the amount of expected risk and return.</p>
Item 6.	<p><u>EDUCATION AND BUSINESS STANDARDS</u> Persons acting on behalf of LWP with respect to rendering investment consulting services must have a high level of experience in the securities industry and a demonstrated ability to responsibly advise clients with respect to realization of their investment goals. All such persons must have a college degree or be working toward one or at least 10 years experience in the investment business. All Wealth Coaches of LWP must have one or more of the following professional designations: CPA (Certified Public Accountant), PFS (Personal Financial Specialist), CFP® (Certified Financial Planner™ Practitioner) or LLM (Advanced law degree with an emphasis in taxation).</p> <p><u>EDUCATION AND BUSINESS BACKGROUND</u></p> <p>Michael C. Lantz <i>Year of Birth:</i> 1956 <i>Formal Education After High School:</i> <ul style="list-style-type: none"> ▪ University of Nevada, 1978, B.S., Accounting ▪ Dixie College, 1976, A.S., Accounting <i>Business Background Preceding Five Years:</i> <ul style="list-style-type: none"> ▪ Lantz Wealth Partners, Ltd., Owner 11/2003-Present ▪ Acuity Advisors, Owner 7/2003-11/2003 ▪ Acuity Advisors, LLC, Managing Member, 12/2000 – 6/2003 ▪ Stewart, Archibald & Barney, LLP, Partner, 03/1978 – 6/2003 ▪ Sentra Securities Corporation, Registered Representative/Investment Adviser Representative, 02/2001 – 9/30/04 ▪ National Financial Partners, Registered Representative/Investment Adviser Representative, 10/2004 – March 2007 ▪ SAB Wealth Strategies, LLC, Partner, 05/2000 – 11/2000 ▪ 1st Global Capital, Registered Representative, 05/2000 – 01/2001 ▪ 1st Global Advisor, Investment Adviser Representative, 07/2000 – 01/2001 <i>Professional Licenses Held</i> <ul style="list-style-type: none"> ▪ Certified Public Accountant – Nevada 1980 ▪ Personal Financial Specialist, AICPA, 2002 ▪ Certified Financial Planner, CFP® Practitioner, 2001 </p> <p>Laura McCormack <i>Year of Birth:</i> 1969 <i>Formal Education After High School:</i> <ul style="list-style-type: none"> ▪ Long Island University, 1991, B.S., Accounting ▪ SUNY Farmingdale, 1989, A.S., Accounting <i>Business Background Preceding Five Years:</i> <ul style="list-style-type: none"> ▪ Lantz Wealth Partners, Ltd., Owner 5/2006-Present ▪ Lantz Wealth Partners, Ltd., 11/2003-5/2006 </p>

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Item 7.A and B, and 8C(9).	<ul style="list-style-type: none"> ▪ Acuity Advisors, 7/2003-11/2003 ▪ Stewart, Archibald & Barney, LLP, 04/1996 – 6/2003 ▪ Sentra Securities Corporation, Registered Assistant, 10/2002 – 9/30/04 ▪ National Financial Partners, Field Assistant, 10/2004 – March 2007 <p><u>OTHER BUSINESS ACTIVITIES/OTHER FINANCIAL INDUSTRY ACTIVITIES OR AFFILIATIONS</u> Associated persons of LWP will sell insurance products not involving securities and will receive commissions as a result of such sales. These commissions may be paid directly to LWP and then distributed to the appropriate associated person.</p> <p>Associated persons of LWP are licensed with a number of life insurance companies. In the course of implementing a financial plan, or if requested by the client, advisers may recommend insurance products offered by these companies. If clients choose to purchase these products through the firm, the adviser may receive the normal and customary commission. Thus a potential conflict of interest exists between the adviser's interests and those of their advisory clients. The client, however, is under no obligation to purchase products recommended, or to purchase products either through the firm or through any insurance company through which the adviser is licensed.</p>	
Item 8C(7)		
Item 9	<p>LWP's Principal, Michael C. Lantz is a certified public accountant. Neither LWP or Mr. Lantz holds out to the public as providing accounting-related services. To the extent that a client requires accounting advice and/or tax preparation services, <i>may</i> provide such services on a fee basis incidental to LWP's investment advisory services. Mr. Lantz CPA status is not material to LWP's investment advisory business.</p>	
Item 9.E.	<p><u>PARTICIPATION OR INTEREST IN CLIENT TRANSACTIONS</u> Associated persons of LWP will be compensated as fee-only planners. Commissions will not be charged on the sale of securities for clients paying investment advisory fees. Commissions may be charged on non-securities transactions from assets not already included in a client's assets under management.</p> <p>LWP or individuals associated with LWP may buy or sell – for their personal account(s) – investment products identical to those recommended to clients. These investment products may or may not be widely held and publicly traded. It is LWP's expressed policy that no person employed by the firm shall effect for himself or herself or for his or her immediate family (i.e. spouse, minor child) any transaction(s) in a security that is being actively purchased or sold, or is being considered for purchase or sale, on behalf of any of LWP's clients, unless in accordance with the following:</p> <ol style="list-style-type: none"> 1. If LWP is purchasing or considering for purchase any security on behalf of its client(s), no associated person may transact in that security prior to the client purchase having been completed by LWP, or until a decision has been made not to purchase the security on behalf of the client; and 2. If LWP is selling or considering the sale of any security on behalf of its client(s), no 	

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Item of Form (identify)	Answer
Item 10.	<p>associated person may transact in that security prior to the sale on behalf of the client having been completed by LWP, or until a decision has been made not to sell the security on behalf of the client.</p> <p><u>Exceptions</u></p> <p>This policy has been established recognizing that some securities being considered for purchase and sale on behalf of LWP's client(s) trade in sufficiently broad markets to permit transactions by LWP to be completed without any appreciable impact on the markets of the securities. Under certain circumstances exceptions may be made to the policies stated above. Records in these trades, including reasons for the exceptions, will be maintained with LWP's records.</p> <p>It is further noted that LWP is in, and shall continue to be in total compliance with The Insider Trading and Securities Fraud Enforcement Act of 1988. Specifically, LWP has adopted a firm wide policy statement outlining insider-trading compliance by LWP, its associated persons and other employees. This statement has been distributed to all associated persons and other employees of LWP and has been signed and dated by each such person. A copy of such firm wide policy is left with each associated person and the original is maintained in a master file.</p> <p>Further, LWP has adopted a written supervisory procedures statement highlighting the steps which shall be taken to implement the firm wide policy. These materials are also distributed to all associated persons and other employees of LWP, are signed, dated and filed with the insider trading compliance materials.</p> <p>Confidentiality</p> <p>As an employee of LWP, associated persons may learn confidential information concerning LWP and its clients. "Confidential information" generally means all information not publicly available (through the media or public records) and includes, but is not limited to:</p> <ul style="list-style-type: none"> ▪ The composition of client portfolios. ▪ Certain records, procedures and other proprietary information. ▪ Family or personal information. <p>It is LWP's policy that individuals employed by LWP must not disclose, directly or indirectly, any confidential information to anyone other than LWP personnel and authorized professional advisers such as broker dealers, attorneys, and accountants who need such information in order to discharge their professional services.</p> <p><u>CONDITIONS FOR MANAGING ACCOUNTS</u></p> <p>The minimum account size required to open and maintain an account, under the Managed Assets Program or The Building Block Investing Model™ Program, is \$1,000,000. However, LWP, in its sole discretion, may reduce its portfolio minimum and/or charge a lesser investment advisory fee based upon certain criteria (i.e. existing financial planning client, anticipated future earning capacity, anticipated future additional assets, dollar amount of assets to be managed, related accounts, account composition, negotiations with client, etc.).</p>

Complete amended pages in full, circle amended items and file with execution page (page 1).

**Schedule F of
Form ADV**

Continuation Sheet for Form ADV Part II

Applicant:	SEC File Number:	Date:
Lantz Wealth Partners, Ltd.	801-	7/30/2007

(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: Lantz Wealth Partners, Ltd.	IRS Empl. Ident. No.:
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Item of Form (identify)	Answer
Items 12B and 13A	<p><u>Advisory Account Custodian.</u> Except for variable investment products (which shall generally be maintained at the issuing insurance company), LWP recommends that client investment advisory accounts be primarily maintained at Charles Schwab & Co. Inc. ("<i>Schwab</i>"). Factors that LWP considers in recommending <i>Schwab</i> to clients include <i>Schwab's</i> financial strength, reputation, reporting, execution, pricing, research, and service. The brokerage commissions or transaction fees charged by <i>Schwab</i> (or any other designated broker-dealer/custodian) for account transactions are exclusive of, and in addition to, LWP investment advisory fee.</p> <p>Although not a material consideration when determining whether to recommend that a client utilize the services of a particular broker-dealer/custodian, LWP may receive from <i>Schwab</i> (or a mutual fund company), without cost (and/or at a discount) support services and/or products, certain of which assist LWP to better monitor and service client accounts maintained at such institutions. Included within the support services that may be obtained by LWP may be investment-related research, pricing information and market data, software and other technology that provide access to client account data, compliance and/or practice management-related publications, discounted or gratis consulting services, discounted and/or gratis attendance at conferences, meetings, and other educational and/or social events, marketing support, web site development and support, computer hardware and/or software and/or other products used by LWP in furtherance of its investment advisory business operations.</p> <p>As indicated above, certain of the support services and/or products that <i>may</i> be received may assist LWP in managing and administering client accounts. Others do not directly provide such assistance, but rather assist LWP to manage and further develop its business enterprise.</p> <p>LWP's clients do not pay more for investment transactions effected and/or assets maintained at <i>Schwab</i> as result of this arrangement. There is no corresponding commitment made by LWP to <i>Schwab</i> or any other any entity to invest any specific amount or percentage of client assets in any specific mutual funds, securities or other investment products as result of the above arrangement.</p> <p><u>LWP's Principal, Michael C. Lantz, remains available to address any questions that a client or prospective may have regarding the above arrangement and any corresponding perceived conflict of interest any such arrangement may create.</u></p>

Complete amended pages in full, circle amended items and file with execution page (page 1).